

Prognostic Factors in Prostate Cancer

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Abstract

The ability of traditional and newer molecular-based prognostic factors to predict the outcome of prostate cancer is of considerable interest to urologists, pathologists, and patients. In this review, a series of traditional and newer molecular-based prognostic factors are considered, including those that have achieved widespread use, newer tests that are beginning to be used in clinical practice, and emerging molecular markers that have yet to be widely validated in the published literature or clinical trials.

Prostate cancer is the most frequent new cancer diagnosis in American men, and the disease incidence increases with age more than any other cancer.^{1,2} During the past 15 years, in the era of serum prostate-specific antigen (PSA) screening, there has been a significant increase in the detection of prostate cancer.³⁻⁶ Prostate cancer is widely known to vary substantially in aggressiveness.⁷⁻¹³ Given the significant potential morbidity associated with aggressive treatment, there has been significant interest in the development of traditional morphologic feature-based and newer molecular-based prognostic factors that potentially could distinguish the indolent cases unlikely to progress during a man's remaining lifetime from the invasive tumors capable of distant metastasis and producing androgen-independent, antiandrogen-resistant fatal disease.⁷⁻¹³

Morphologic Feature-Based Prognostic Factors

The pathologic staging and microscopic grading of prostate cancer are widely accepted as major morphology-driven prognostic factors for the disease.¹⁴ Additional morphologic markers include vascular space involvement and mitotic activity. In an attempt to stratify the potential clinical usefulness of prognostic and predictive factors, the College of American Pathologists has proposed classifying them into the following categories: category I, markers that are well supported by the literature and generally used in patient management; category II, markers that are extensively studied biologically and/or clinically but with few clinical outcome studies; and category III, markers that currently do not meet the criteria of category I or II.¹⁵ The College of American Pathologists classification of prognostic factors in prostate cancer is summarized in **Table 1**.

Tumor Type

The morphologic variants of prostatic carcinoma, although relatively uncommon, can be associated with different disease progression patterns.¹⁶ Standard *acinar adenocarcinoma* arises in the peripheral zones of the prostate gland and accounts for more than 90% of all newly diagnosed prostate cancers.¹⁶ *Prostatic duct carcinomas* originate from larger dilated central ducts of the gland, immunostain positively for PSA and prostatic acid phosphatase (PSAP),

and usually are of low to intermediate aggressiveness.¹⁶⁻¹⁹ *Endometrioid adenocarcinomas* are included in the prostatic duct carcinoma group.^{20,21} *Mucinous carcinomas* also stain for both PSAP and PSA, rarely respond to hormonal therapy, and often cause bone metastases.²² *Adenoid cystic carcinomas* are nonreactive for both PSA and PSAP and may be associated with distant metastasis.^{16,23} *Squamous* and *adenosquamous carcinomas* may develop in patients treated with radiation therapy or after conventional adenocarcinoma

Table 1
Prognostic Factors in Prostate Cancer

Marker	Method of Detection	CAP Prognostic Marker Category*	Current Status
Serum PSA	ELISA	I	Standard practice
Pathologic stage	Routine pathologic examination	I	Standard practice
Tumor grade	Routine pathologic examination	I	Standard practice
Surgical margins	Routine pathologic examination	I	Standard practice
Tumor volume			
Needle biopsy summed percentages of involvement	Routine pathologic examination	II	In common use
Prostatectomy whole mounts	Special pathologic examination	II	In clinical use in some centers
Tumor type	Routine pathologic examination	II	Standard practice
Tissue PSA	Immunohistochemical analysis		Research only
Tissue PSMA	Immunohistochemical analysis		Research only
Androgen receptor	Immunohistochemical analysis	III	Research only
Ki-67 (MIB-1) labeling index	Immunohistochemical analysis	III	In clinical use in some centers
Other cell cycle (mitosis counts, PCNA, p27, p21, p34)	Immunohistochemical analysis		In clinical use in some centers
DNA ploidy	Flow or image cytometry	II	In clinical use in some centers
Cytogenetics	Karyotype and FISH		Research only
Morphometric features: roundness, texture	Image cytometry	III	Research only
Microvessel density	Immunohistochemical analysis; image cytometry	III	In clinical use in some centers
Nuclear matrix proteins	Immunohistochemical analysis		Research only
Cytokine expression	Immunohistochemical analysis		Research only
Growth factors and receptors	Immunohistochemical analysis; in situ hybridization		Research only
Cell adhesion molecules: E-cadherin, CD44, integrins	Immunohistochemical analysis; gene methylation assays	III	Research only
Invasion proteases	Immunohistochemical analysis; bioassays		Research only
Dominant oncogenes	Immunohistochemical analysis; sequencing		Research only
HER-2/neu	Immunohistochemical analysis; FISH; RT-PCR		Research only
p53	Immunohistochemical analysis; sequencing		In clinical use in some centers
PTEN/AKT	Immunohistochemical analysis		Research only
GST-π	Immunohistochemical analysis; gene methylation		Research only (RT-PCR test used in blood, urine, semen specimens in clinical use in some centers)
bcl-2 and apoptosis	Immunohistochemical analysis; TUNEL assay	III	In clinical use in some centers
Telomerase	TRAP assay; in situ hybridization		Research only
Microsatellite instability	PCR; electrophoresis		Research only
Human glandular kallikrein 2	Serum ELISA	III	Blood test in use in some clinical centers (prostate cancer detection)
Hepsin	Microarray		Research only
AMACR	Immunohistochemical analysis		In clinical use in some centers (diagnosis of microfocal cancers on needle biopsy specimens)
Ubiquitin and proteolysis	Immunohistochemical analysis; bioassays		Research only
NFκB	Immunohistochemical analysis		Research only
Expression profiling	Microarray		Research only

AMACR, α-methylacyl-coenzyme A racemase; CAP, College of American Pathologists; ELISA, enzyme-linked immunosorbent assay; FISH, fluorescence in situ hybridization; GST, glutathione-S-transferase; PSA, prostate-specific antigen; PSMA, prostate-specific membrane antigen; PTEN, phosphatase and tensin homolog deleted from chromosome 10; RT-PCR, reverse transcriptase–polymerase chain reaction; TRAP, telomere amplification protocol; TUNEL, terminal deoxynucleotidyl transferase–mediated deoxyuridine triphosphate-biotin nick-end labeling.

* From Bostwick et al.¹⁰ See the text for an explanation of the categories. Blank cells indicate that the marker has not been assigned to a category.

has been treated with estrogens.²⁴⁻²⁶ *Signet-ring cell carcinomas* are negative for neutral and acid mucins, immunoreactive for PSAP and PSA, and clinically aggressive.^{27,28} *Small cell and neuroendocrine carcinomas* are associated with a uniformly poor prognosis.²⁹ *Prostatic transitional cell carcinomas* arise from the periurethral glandular epithelium or from metaplastic prostatic epithelium, are negative for PSA and PSAP, and often develop into aggressive tumors that do not respond to hormonal therapy.^{16,30} *Lymphoepithelioma-like carcinomas* are poorly differentiated carcinomas with a syncytial growth pattern, prominent lymphocytic stroma, and adverse clinical behavior.¹⁶ *Carcinosarcomas* and *sarcomatoid carcinomas* are rapidly progressive biphasic tumors featuring a sarcomatous component.^{16,31,32} *Basal cell carcinomas* and *carcinoid tumors* are additional rare prostatic neoplasms with adverse clinical outcomes.³³

Tumor Grade

The microscopic grade of a prostate cancer correlates significantly with the local extent of the disease, incidence of lymph node and bone metastasis, response to various therapies, and overall disease outcome.⁶⁻¹³ The 2-grade summation scoring system developed by Gleason³⁴ has correlated with cell proliferation rate, aneuploid DNA content, oncogene activation, and tumor suppressor gene mutation⁶⁻¹³ and is predictive of rapid PSA progression.³⁵ However, although the Gleason score of prostatic adenocarcinoma is clearly one of the strongest predictors of biologic behavior and metastatic potential, in most studies, it does not seem to be capable of predicting disease outcome when used alone.⁶⁻¹³ The correlation of Gleason score for the needle biopsy specimen with the final score at radical prostatectomy is best for moderately and poorly differentiated adenocarcinomas.³⁶ Discrepancies between the Gleason score for biopsy specimens and corresponding radical prostatectomy specimens are greatest when Gleason scores are low and the quantity of tumor in the biopsy specimens is limited.³⁷ In addition, it has been documented that grading accuracy for needle biopsy specimens might be higher when the grading pathologists are experienced subspecialists in urologic pathology.³⁸ This may be manifest in the higher incidence of grade changes, upward or downward, at radical prostatectomy encountered when community hospital pathologists performed the original biopsy grading.³⁸ Foci of Gleason patterns 4 and 5 seem to be predictive of adverse outcome even when present in only a minute or tertiary focus.^{39,40}

Tumor Volume

Tumor volume is a significant predictor of pathologic stage, lymph node and distant metastasis, and overall disease outcome.^{34,41-43} The number and length of involvement of

multiple (sextant or octant) needle biopsy cores has been successful at predicting overall tumor volume, pathologic stage, and disease outcome.⁴⁴

Tumor Stage

Extraprostatic extension is reportedly very common in prostatic adenocarcinoma, with an incidence as high as 90% in 1 series.^{45,46} Patients with focal intracapsular penetration by the tumor are reported to have an intermediate prognostic risk between those with organ-confined disease and those with diffuse extraprostatic extension.⁴⁷ The presence of perineural invasion in the needle biopsy specimen has been reported to be a specific marker for capsular penetration of the tumor in a prostatectomy specimen,⁴⁸ although the overall prognostic significance of perineural invasion remains controversial.⁴⁹

Seminal vesicle involvement by prostate cancer is associated with high tumor grade, large tumor volume, extraprostatic extension, lymph node metastasis, and poor prognosis.⁵⁰ Positive margins of resection significantly affect disease outcome and correlate with high preoperative serum PSA levels, high tumor grade, and aneuploid DNA content.^{47,51-53} Vascular space invasion also has been associated with disease progression.⁵⁴ The presence of nodal metastases is highly associated with significant tumor progression, with an overall incidence averaging 40%.⁵⁵ Recent studies of micrometastasis detection using molecular methods have differed in their conclusions.^{56,57} Bone metastases might signify the progression to androgen-independent tumor growth and, with liver and other parenchymal metastases, often herald progression to an ultimate fatal disease outcome.

Molecular-Based Prognostic and Predictive Factors

A variety of ancillary procedures and molecular-based assays of genes and proteins (Table 1) have been studied for their ability to predict outcome and target therapy in prostate cancer.^{6-13,58,59}

Tumor-Specific Proteins

Immunohistochemical staining and other methods designed to detect the cellular expression levels of PSA and PSAP have not been successful generally for predicting outcome in prostate cancer.⁶⁻¹³ However, in a recent study using fine-needle aspiration biopsy specimens and immunocytochemical analysis, loss of cellular PSA staining successfully predicted disease progression independent of clinical stage, cytologic grade, and DNA ploidy status in patients after endocrine therapy.⁶⁰ Although individual cell anti-PSA

immunostaining decreases with tumor dedifferentiation, prostate-specific membrane antigen (PSMA) staining is maintained in both low- and high-grade tumors, including primary and metastatic lesions.⁶¹ In a recent study using prostatectomy specimens, increased PSMA expression **Image 1** was associated with biochemical disease relapse.⁶² Anti-PSMA staining also has been described in the endothelium of tumor blood vessels in carcinomas of other primary sites, including breast, colon, and lung.⁶³ Prostate stem cell antigen seems to maintain or increase staining intensity in high-grade tumors and has been correlated with advanced stage and bone metastasis.^{64,65}

Nuclear Hormone Receptors

Although androgen receptor (AR) loss and clinical lack of benefit from antiandrogen therapy have been associated with high-grade and high-stage prostate cancer, AR activity has not independently predicted disease-related death.⁶⁶ AR expression can be heterogeneous in prostate cancer, which might reflect AR genetic instability and the future development of androgen-independent tumor growth.⁶⁷ Assays of AR activity have not been used to select patients for androgen ablation therapy before prostatectomy. Research interest in AR activity has focused on the relationship between expression of various growth factors and matrix metalloproteinases associated with

prostate cancer progression and AR status.^{13,68} Although the development of androgen-independent tumor growth has been associated with various specific point mutations in the AR gene, disease outcome has not correlated with AR expression.¹³ Further characterization of AR activity in prostate cancer seems warranted to better understand the events that produce the capability of androgen-independent growth for some aggressive tumors and the interaction of AR with other prognostic markers.

Cell Proliferation Markers

Cell proliferation has been measured in prostate cancer by immunohistochemical staining using cell proliferation markers and by the calculation of the S phase from flow cytometry or image analysis–derived quantitative DNA histograms. Immunohistochemical analysis using the MIB-1/Ki-67 antibody is the technique of choice for measuring cell cycle progression in processed human tissues.^{69,70} A proliferative index of more than 16% MIB-1 staining has been associated with a highly adverse prognosis.⁶⁹⁻⁷⁴ MIB-1 overexpression **Image 2** also has been associated with primary therapy failure⁷⁰ and has predicted prognosis even in patients with existing lymph node involvement.⁷⁵ In contrast with other malignancies, S-phase calculations by flow cytometric analysis or image analysis have been less clinically useful in prostate cancer.

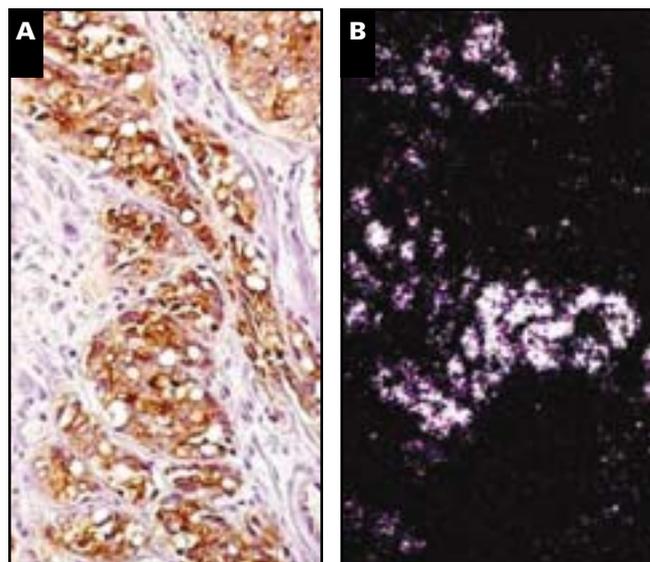


Image 1 **A**, Intense prostate-specific membrane antigen (PSMA) overexpression detected by immunohistochemical analysis in a patient with a pathologic stage III, Gleason score 7 tumor that progressed to hormone refractory metastatic disease (anti-PSMA with 7E11 antibody, immunoperoxidase with hematoxylin counterstain, $\times 200$). **B**, PSMA messenger RNA overexpression (white areas) detected by in situ hybridization, autoradiography, and darkfield illumination (PSMA sulfur 35–labeled antisense probe, $\times 100$).

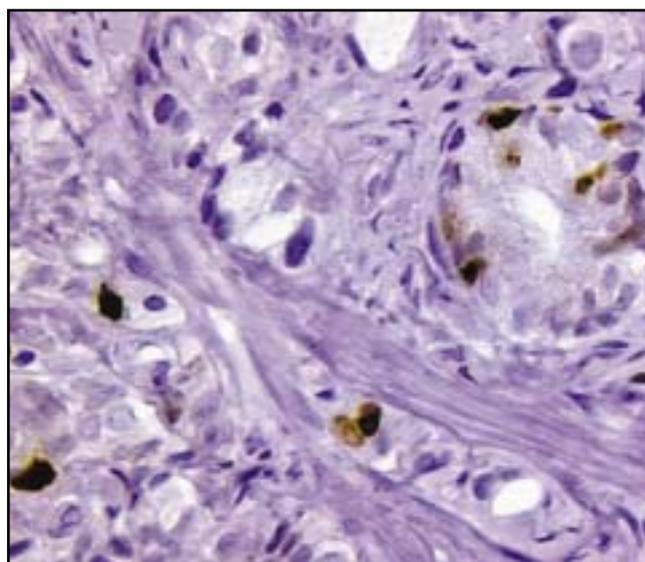


Image 2 Prostate cancer cell proliferation index determined by immunostaining for the MIB-1/Ki-67 proliferation marker. The labeling index for this case was 7%. This is a relatively high rate of proliferation for prostate cancer and is associated with a higher grade and a higher stage of disease with a propensity for recurrence after surgical or radiation therapy (antihuman Ki-67, diaminobenzidine, hematoxylin, $\times 400$).

Cell Cycle Regulators

Cyclins

Cell cycle regulatory proteins have been linked to adverse outcome in prostate cancer.¹³ Cyclin D1 overexpression has been detected in approximately 10% of prostate cancers and has been linked to the development of bone metastases.⁷⁶

Cyclin-Dependent Kinase Inhibitors

In the G₁ to S phase transition of the cell cycle, 2 families of cyclin-dependent kinase inhibitors have been described: the Cip/Kip and INK4 groups.¹³ Both p21 and p27 proteins, members of the Cip/Kip family, have been studied as prognostic factors in prostate cancer.¹³ Maintenance of p21 immunoreactivity is associated with prolonged disease-free survival.⁷⁷ Loss of p27 expression has been associated with adverse disease outcome in a number of studies.^{13,78-80} In addition, the homeobox protein *skp-2* has been shown to be expressed **Image 3** inversely to p27 in prostate cancer and might represent a drug target candidate for the disease.⁸¹ The *p16^{INK4}* tumor suppressor gene is rarely mutated in prostate cancer, but decreased protein expression has been associated with gene deletions and promoter hypermethylation.⁸² Interestingly, increased p16 immunostaining has been associated with the presence of prostate cancer, but this marker has not become a useful prognostic factor to date.⁷⁹ Overexpression of p34^{cdc2} cyclin-dependent kinase, involved in the S to G₂M transition of the cell cycle, has been associated with aggressive high-grade

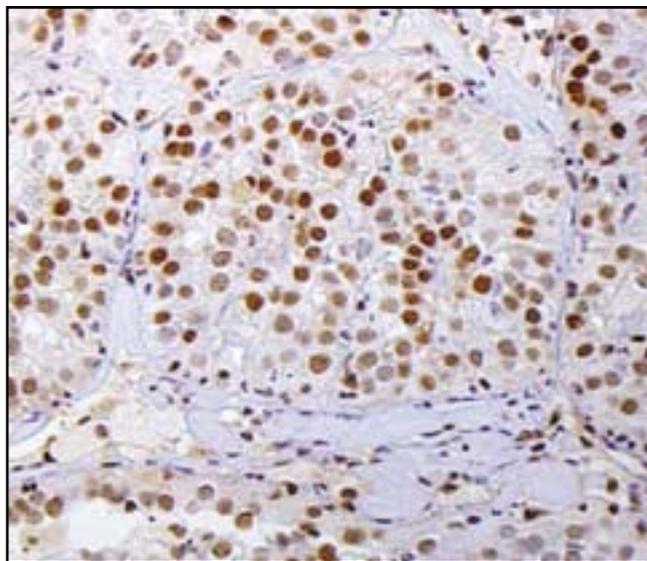


Image 3 Expression of p27 in prostate cancer. This well-differentiated tumor abundantly expressed p27, was nonimmunoreactive for *skp-2*, and featured no evidence of biochemical disease relapse more than 5 years after primary prostatectomy (peroxidase-antiperoxidase ×200).

disease featuring an increased incidence of biochemical failure after primary therapy.⁸³

DNA Ploidy Determination

The majority of retrospective studies have shown that aneuploid DNA content in prostate cancer independently predicts a poor prognosis for the disease.⁸⁴⁻⁸⁹ DNA ploidy measurements have been performed on needle biopsy specimens by using the tissue section image analysis technique⁹⁰ **Image 4**. An aneuploid DNA ploidy status determined on needle biopsy specimens has correlated successfully with the ploidy status of corresponding radical prostatectomy specimens and independently predicted disease outcome.⁹⁰ DNA ploidy determination on needle biopsy specimens has been used to confirm biopsy grading,⁹¹ although this role is reduced substantially when grading of the biopsy specimen is performed by experts.⁹²

Molecular Genetics and Cytogenetics

A variety of molecular and cytogenetic abnormalities have been associated with prostate cancer.⁹³ Although certain loci have been associated with the incidence of familial prostate cancer, disease heterogeneity has impeded the discovery of predisposition genes.¹³ The most frequent sites of losses of genetic material in prostate cancer, in decreasing order, are on chromosomes 13q, 8p, 6q, 5q, 16q, 18q, 2q, 4q, 10q, and Y.¹² The most frequent gains are seen on chromosomes 8q, 17q, Xq, 7q, 3q, 9q, 1q, and Xp.¹² Chromosomal loss at 8p22 with concurrent gain of 8c⁹⁴ and 13q21⁹⁵ have been associated with adverse disease outcome. Also, loss at 8p21, the site of a prostate-specific homeobox gene *NKX3.1*, also has correlated with tumor progression.⁹⁶ Comparative genomic hybridization studies of prostate cancer have found DNA copy number changes in as many as 65% of the analyzed tumors, with the most common chromosomal losses found at regions 13q21q33 (29%), 6q11q23 (24%), 16q, and 18 (each 18%) and the most common gains at 19 (18%).⁹⁷

Morphometrics

A variety of morphometric techniques have been applied on prostate cancer specimens with the nuclear roundness factor measurement achieving the most significant potential clinical usefulness. Prostate cancers featuring almost perfectly round nuclei typically are well-differentiated and slow-growing cancers. Tumors with irregular nuclear contours and correspondingly low nuclear roundness have been associated with high tumor grade and a propensity for the development of distant metastasis and shortened survival.⁹⁸⁻¹⁰¹ A recent study of morphometric features in prostate cancer found that suboptimal circle fit and Feret diameter ratio measurements could predict disease relapse after radiation therapy.¹⁰¹

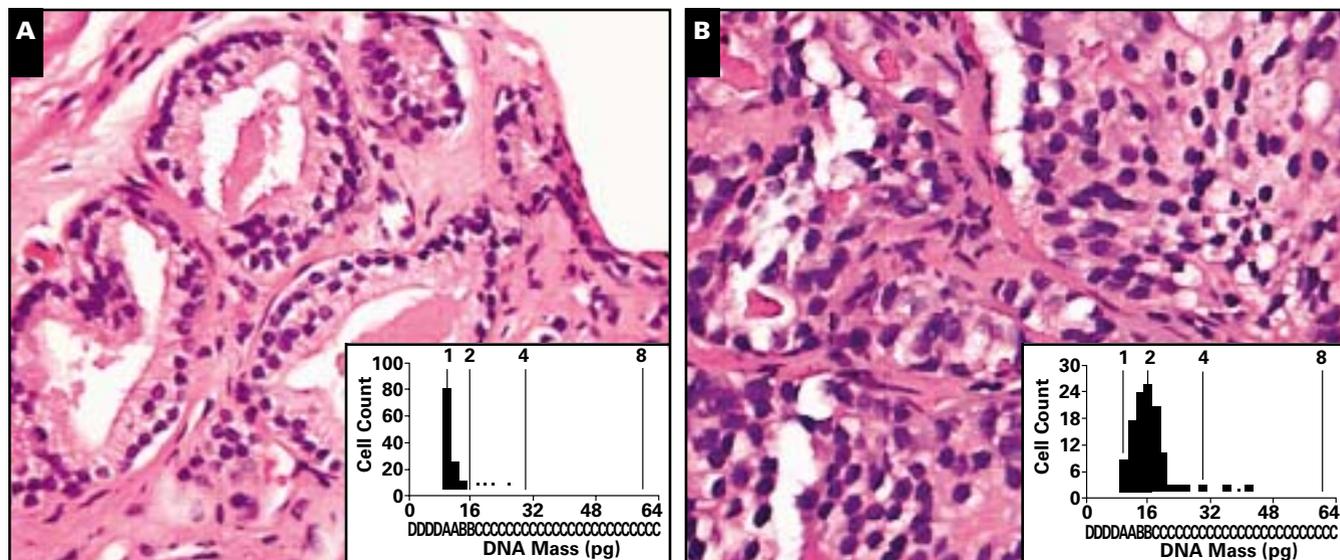


Image 4 DNA ploidy in prostate cancer. **A**, In this Gleason score 6 prostate cancer needle biopsy specimen (H&E, x100), the DNA ploidy histogram (inset) determined by the tissue section image analysis technique revealed a diploid pattern with a DNA index of 1.06. **B**, In this Gleason score 7 needle biopsy specimen (H&E, x100), the histogram (inset) shows a tetraploid aneuploid pattern with DNA index of 2.06. Ploidy patterns of needle biopsy specimens have been correlated with postprostatectomy disease relapse and also can be used to confirm the accuracy of tumor grading. Needle biopsy specimens featuring a low histologic grade and an aneuploid histogram are significantly more likely to be upgraded on the radical prostatectomy specimen (see text).

Tumor Vascularity and Microvessel Density

Tumor angiogenesis also has correlated with adverse outcome in prostate cancer as measured by microvessel counting studies.¹⁰² Significantly higher microvessel counts have been obtained in areas of adenocarcinoma than in the benign tissues of radical prostatectomy specimens.¹⁰³ Prostate cancers seem to have the greatest concentration of microvessels in the centers of the tumoral areas, which might account for the infrequency of necrosis in prostate cancer.¹⁰⁴ Increased microvasculature has been found to correlate with the pathologic stage of the disease.^{102,105,106} Microvessel density has been associated with the presence of metastasis¹⁰² and with a significant risk for disease progression after radical prostatectomy in some studies^{105,107-109} but has failed to achieve significance as an outcome predictor in others.¹¹⁰ These conflicting results might reflect methodological differences in microvessel counting techniques. The application of microvessel counts to prostate cancer needle biopsy specimens, in which the counts could be used prospectively to plan therapy, has not received sufficient study.

Color Doppler flow has been shown to be an important aid to gray-scale sonography in the detection of prostatic carcinoma and has been correlated with tumor grade and stage.¹¹⁰⁻¹¹⁵ However, little evidence has been presented to date linking sonographic findings to microvessel counts in the respective tissue samples. In fact, in 1 study, microvessel

density and tumor size were no different in specimens with normal or with increased color Doppler flow.¹¹⁴

Nuclear Matrix Proteins

Nuclear matrix proteins function to maintain the structure, shape, and higher order of DNA organization within a cell.¹¹⁶ Nuclear matrix proteins have been characterized in prostate cancer and may define subsets of the disease with differing biology and clinical behavior.¹¹⁶ The expression of 1 nuclear matrix protein, YL-1, seems to be related to an aggressive type of prostate cancer and correlates with pathologic stage of disease.¹¹⁷

Cytokines

In hormone-refractory prostate cancer, up-regulation of inflammation-associated interleukin (IL)-4, IL-6, and IL-10 has been described.¹¹⁸ Recently, serum IL-6 levels have been linked to adverse outcome.¹¹⁹ Tissue-based cytokine measurements have not been associated with prostate cancer prognosis.

Growth Factors

A variety of growth factors have been studied in prostate cancer, including the epidermal growth factor (EGF) and its receptor (EGFR). The results have been conflicting, ie, although EGF assays of prostate cancer specimens show higher levels than seen in the normal prostate, high-grade

tumors seem to have lower EGF content than do well-differentiated lesions.¹²⁰ Increased EGFR expression has been linked to progression to androgen-independent disease in 1 study,¹²¹ and preclinical studies have suggested that anti-EGFR therapies, such as with small molecule tyrosine kinase inhibitor ZD1839 (Iressa, Astra Zeneca, Manchester, England), have possible clinical usefulness in treatment.¹²² Increased expression of basic fibroblast growth factor has been linked to adverse outcome.⁵⁹ Overexpression of transforming growth factor β has been implicated in the growth of prostate cancer cell lines¹³ and a significant reduction in disease-free survival in clinical trials.¹²³ Up-regulation of vascular endothelial growth factor in prostate cancer has been associated with adverse outcome in patients with clinically localized disease.¹²⁴ Growth factors seem to operate in networks in the prostate, and further studies are necessary to elucidate the various interactions of these trophic proteins on disease outcome.

Cell Adhesion Molecules

Loss of expression of E-cadherin, a cell adhesion molecule, has been associated with adverse disease outcome in prostate cancer.¹²⁵⁻¹³⁰ Decreased expression of E-cadherin has been shown to associate with high tumor grade and aneuploidy.¹³¹ It has been further suggested that this deletion might involve the E-cadherin gene and that E-cadherin protein, in addition to its cell adhesion role, might be functioning as a tumor suppressor protein. Alternatively, the loss

of E-cadherin expression in prostate cancer might be related to gene methylation **Image 5**.¹³² Further immunohistochemical and molecular genetic studies seem warranted to pursue this potential important association.

The E-cadherin interaction with β -catenin has received substantial study resulting from the linkage of β -catenin degradation and association with the *APC* gene.¹³ Expression of β -catenin on the cytoplasmic membrane indicates E-cadherin interaction, whereas transfer of β -catenin staining to the cytoplasm and nucleus indicates that β -catenin has initiated signal transduction mediated by the lymphoid enhancer factor complex, which has been associated with the up-regulation of various genes associated with adverse prognosis, such as cyclin D1.¹³³ Anomalies of β -catenin expression have been described in prostate cancer and have been associated with disease progression.¹³⁴

The CD44 cell adhesion molecule also has been linked to outcome in prostate cancer. Loss of expression of the CD44 protein standard form has been associated with other adverse prognostic factors such as high tumor grade and aneuploid DNA content.^{135,136} Recent evidence points to promoter gene hypermethylation as the cause of the loss of CD44 standard form expression in prostate cancer.¹³⁷ CD44 also is associated with production of a series of splice variant proteins that have been linked to adverse outcome in a variety of malignant neoplasms, including prostate cancer.¹³⁸ The expression of CD44v6 might be a predictor of poor prognosis in organ-confined prostate cancer and useful for planning adjuvant therapy.¹³⁸

Integrins have been studied widely in prostate cancer and implicated as potential indicators of aggressive disease.^{136,139} Decreased expression of the α_4 integrin subunit and the laminin 5 subunit have been linked to adverse disease outcome.⁵⁹

Tumor Invasion-Associated Proteases

Cathepsin D, a lysosomal protease and autocrine mitogen, has been associated with prognosis in breast cancer.¹⁴⁰ In prostate cancer, increased tumor cathepsin D immunoreactivity has been correlated with pathologic stage¹⁴¹ and with tumor grade and DNA content.¹⁴² Increased serum levels of soluble urokinase plasminogen activator receptor have been linked to progressive prostate cancer.¹⁴³ Finally, localization of tumor collagenases⁵⁹ and matrix metalloproteinases has been linked to the development and progression of prostate cancer.^{144,145}

Dominant Oncogenes

In comparison with their significance in adenocarcinomas of the respiratory and gastrointestinal tracts, the roles of dominant oncogenes in the development and progression of prostate cancer seem limited.^{59,146,147} The *ras* genes,

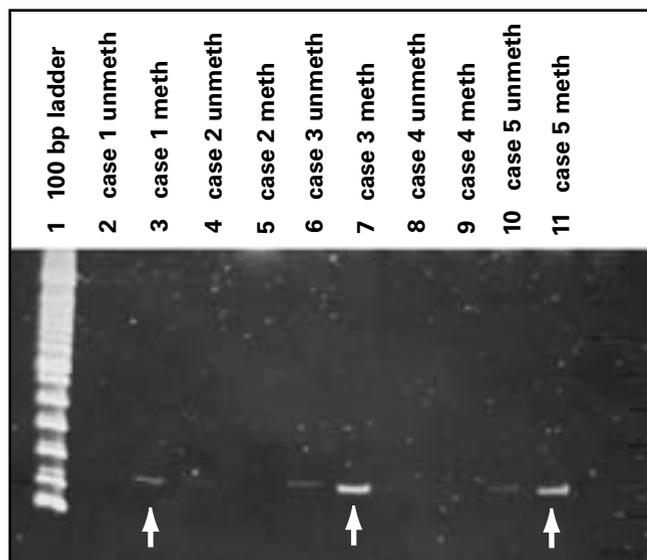


Image 5 E-cadherin expression loss in prostate cancer due to methylation silencing of the E-cadherin gene promoter using sodium bisulfite treatment and polymerase chain reaction with methylation-specific primers. The arrows in lanes 3, 7, and 11 indicate the presence of bisulfite-resistant DNA characteristic of CpG island promoter gene methylation. bp, base pair; meth, methylated; unmeth, not methylated.

commonly mutated in epithelial adenocarcinomas in the gastrointestinal, hepatobiliary, and respiratory tracts, are not altered frequently in human prostate cancers, cell lines, or experimental models.¹⁴⁸ Amplification of the *myc* gene has been studied in prostate cancer but could not be linked to disease progression.¹⁴⁸ Mutations of *myc* might be associated with the development of hormone-refractory disease.¹⁴⁹

The HER-2/*neu* (*c-erb-b2*) Gene

The presence of HER-2/*neu* (*c-erb-b2*) gene amplification or overexpression of the protein has been associated with adverse outcome in breast cancer, and testing for HER-2 has achieved standard-of-practice status for selecting therapy for breast cancer patients.¹⁵⁰ For prostate cancer, the results of immunohistochemical analysis–based studies have conflicted but generally favor that overexpression of the HER-2/*neu* protein is associated with an adverse outcome.¹⁵¹⁻¹⁵⁴ The results of fluorescence in situ hybridization–based studies also have varied, with some finding extra copies of the gene in hormone-naïve¹⁵⁵⁻¹⁵⁷ and hormone-treated cases¹⁵⁸ and others not detecting amplification.¹⁵⁹ HER-2/*neu* messenger RNA (mRNA) levels have been correlated with metastatic disease and androgen-independent, hormone-refractory, progressive disease.¹⁵⁹ Early clinical trials using the humanized anti-HER-2/*neu* monoclonal antibody trastuzumab (Herceptin) have not shown significant responses.¹⁶⁰ Other anti-HER-2/*neu*–targeted therapies for prostate cancer are in early clinical development.

Tumor Suppressor Genes

p53

The assessment of *p53* status in prostate cancer has included both molecular techniques (single-strand conformation polymorphism and direct sequencing) and immunohistochemical analysis (multiple methods and reagents). Positive immunostaining for *p53* has been associated with the detection of the more stable mutant protein and predicts the presence of *p53* gene mutation with about 80% to 90% accuracy.¹⁶¹ By using a variety of antibodies and immunohistochemical techniques, *p53* protein expression has been reported frequently in prostate cancer, with average immunoreactivity ranging from 13% to 23%.¹⁶² A positive association between nuclear *p53* immunoreactivity and aggressive biologic behavior of prostate cancer has been confirmed in multiple studies.¹⁶³⁻¹⁷⁰ Mutations of *p53* seem to be frequent in metastatic prostate cancer.¹⁷¹ Although immunohistochemical analysis can be an inaccurate predictor of *p53* gene status, when molecular biologic techniques are used, it has been reported that 42% of prostate cancers can harbor mutant *p53* sequences.¹⁷² Mutations of the *p53* locus in benign prostate tissue have been reported,

suggesting that *p53* mutations might occur early in the pathogenesis of prostate cancer.^{173,174} New studies of *p53* status, including functional assays, must be performed on needle biopsy specimens to achieve prognostic value for prospective treatment planning in prostate cancer.

PTEN/AKT-1

The *PTEN* (phosphatase and tensin homolog deleted from chromosome 10) also known as *MMAC1* (mutated in multiple advanced cancers 1) tumor suppressor gene is deleted or mutated in a wide variety of malignant neoplasms and in prostate cancer cell lines, xenografts, and clinical samples.^{13,175,176} Loss of expression of *PTEN* has been associated with down-regulation of the cyclin-dependent kinase inhibitor p27 and adverse outcome with increasing tumor grade and stage in prostate cancer.^{79,81,175-177}

p16

Abnormal expression of the G₁-S cell cycle regulator p16 has been detected in prostate cancer clinical samples.¹⁷⁸ Inactivation of p16 in prostate cancer has been associated with methylation of the promoter region of the *p16* gene with silencing of mRNA and protein production.^{179,180} Recently, the loss of expression of p16 has been associated with prostate cancer progression, metastasis, and disease relapse.^{82,181,182}

KAI-1

The *KAI-1* gene, mapped to chromosome 11p, has been implicated as a tumor suppressor gene in prostate cancer, but altered expression of this gene has not been associated with prognosis.^{59,183,184}

pRB

The retinoblastoma gene on chromosome 13 also might function as a tumor suppressor in prostate cancer, but probably is altered in only a small subset of cases.^{185,186} The immunohistochemical staining score independently predicted disease outcome in 1 study.¹⁸⁷ Other known tumor suppressor genes that might have a role in prostatic carcinogenesis but have not been linked to disease outcome are the *DCC* (deleted in colorectal cancer) gene on chromosome 18q and the *APC* (adenomatous polyposis coli) gene on chromosome 5q.¹⁸⁶ The *APC* gene might be important, however, in its association with degradation of the β -catenin protein and blockade of β -catenin–mediated nuclear transcription of cell cycle promoters such as cyclin D1.

Drug-Resistance Genes

Multidrug Resistance

The multidrug resistance factor has been implicated as having a role in the development of resistance of metastatic

prostate cancer to conventional cytotoxic chemotherapy.¹⁸⁸ The expression of the multidrug resistance biomarker P-glycoprotein was correlated with tumor grade, stage, and PSA levels in one study¹⁸⁶ and with tumor stage in another.¹⁸⁹

Glutathione-S-Transferase- π

The glutathione-S-transferase (GST)- π gene is involved in the intracellular detoxification of drugs and toxins. GST- π is deactivated in the vast majority of prostatic carcinomas by the hypermethylation of CpG island promoter sequences, resulting in loss of expression of the GST- π protein.^{190,191} Although it has little value as a prognostic factor, GST- π methylation detection shows substantial promise as a urine-, semen-, or blood-based assay for the detection of prostate cancer.¹⁹²⁻¹⁹⁴ The high frequency of GST- π gene silencing by methylation also has raised the possibility that this biomarker could be the target of a chemoprevention program for prostate cancer.¹⁹⁵

Apoptosis and bcl-2

Expression of bcl-2 has been studied in prostate cancer, initially by immunohistochemical techniques, and found to react with primary and metastatic prostate cancer specimens obtained from patients with tumors refractory to hormonal therapy.¹⁹⁶ Immunoreactivity for bcl-2 is most intense in basal cells rather than secretory cells¹⁹⁷ and may be limited to normal prostatic and seminal vesicle epithelium and to rare cases of poorly differentiated but not well-differentiated prostatic carcinomas.¹⁹⁸ In prostate cancer, overexpression of bcl-2 protein is not associated with rearrangements in the 2.8-kilobase major breakpoint region or with accumulation of p53 protein.¹⁹⁹ Several studies have linked the overexpression of the bcl-2 antiapoptosis protein with decreased expression of the proapoptotic protein bax and adverse outcome in prostate cancer associated with resistance to cytotoxic chemotherapy in patients with hormone-refractory disease.^{13,200,201} Other studies, however, have not found prognostic significance for bcl-2 expression.^{202,203}

Telomerase

Telomerase activity, measured by the telomere amplification protocol assay, is present in virtually all prostate cancers, with increasing levels associated with high-grade disease.²⁰⁴⁻²⁰⁶ Telomerase detection has been advocated as a potential technique to confirm the presence of microfoci of prostate cancer in needle biopsy specimens.^{204,205} Telomerase measurements have been tested for their ability to assess the status of surgical resection margins after prostatectomy.²⁰⁷ Molecular methods also have featured telomerase testing as a means to detect prostate cancer in seminal fluid.^{192,208} However, given its wide expression range in most prostate cancers, the measurement of telomerase has not

been useful as a prognostic factor, although it has been linked to high-grade disease.²⁰⁹

Microsatellite Instability

Microsatellite instability (MSI) has not been studied widely in prostate cancer. Initial studies of clinical specimens failed to demonstrate widespread MSI.²¹⁰ Additional studies suggested that MSI might be related to early prostate cancer development,²¹¹ and a recent study linked MSI and *hMSH2* gene expression to biochemical disease relapse.²¹²

Unclassified Biomarkers

Human Glandular Kallikrein 2

The human glandular kallikrein (hK) family of proteins includes PSA, which also is known as hK3.²¹³ This secreted protein recently has shown promise for improving the specificity of serum-based prostate cancer screening compared with serum PSA levels.²¹⁴⁻²¹⁶ To date, hK2 expression in prostate cancer tissue has not been linked to prognosis.

Hepsin-like Protease

By using transcriptional profiling, in addition to hK2, PSA (hK3), and PSMA, hepsin, a serine protease also known as hepsin-like protease, is a highly overexpressed mRNA that is particularly characteristic of high-grade tumors.²¹⁷⁻²¹⁹ Most recently, overexpression of hepsin mRNA detected by expression profiling on DNA microarrays has been associated with adverse disease outcome in prostate cancer.^{220,221}

α -Methylacyl-Coenzyme A Racemase

Numerous recent transcriptional profiling studies of prostate cancer specimens have detected significant up-regulation of α -methylacyl-coenzyme A racemase (AMACR), a peroxisomal and mitochondrial enzyme.²²¹⁻²²³ Although AMACR expression has been linked to prostate cancer differentiation, it has not been identified as a prognostic marker for the disease.²²⁴ Most recently, AMACR immunostaining has been used to identify minute foci of prostate cancer in needle biopsy specimens, with variable results.²²⁵

Nuclear Factor κ B and the Proteasome

The nuclear transcription factor κ B (NF κ B) complex has a role in cancer development and progression through its influence on apoptosis.²²⁶ NF κ B has been shown to be activated in human and androgen-independent prostate cancer cells.²²⁷ The ubiquitin-proteasome pathway has been studied in prostate cancer as a regulator of the NF κ B signal transduction pathway, downstream proapoptotic (bax) and antiapoptotic (bcl-2) protein expression,^{226,228,229} and cell cycle regulatory proteins.²³⁰ The recently discovered proteasome inhibitor PS-341 (bortezomib [Velcade]) has been associated

with decreased production of bcl-2, inhibition of NFκB, and prevention of acquired resistance to chemotherapy in prostate cancer experimental systems.²³¹ NFκB overexpression in prostate cancer recently has been linked to adverse disease outcome.^{232,233}

Transcriptional Profiling

In the past several years, transcriptional profiling of clinical specimens has been introduced as a method for discovering new biomarkers of the disease and for the prediction of its outcome.^{217-223,234-237} Although the bioinformatic methods necessary to evaluate the large data sets associated with these procedures have not been standardized, significant common findings²³⁸ indicate that this technology holds substantial promise for the discovery of new prognostic factors that may be used in the future to predict the outcome of the disease and permit more individualized selection of primary therapy.

Conclusions

The major prognostic markers described in this review are summarized according to their current clinical use in Table 1. The classic morphologic feature-based factors of tumor type, grade, volume, and stage are used widely and generally considered to be the standard of practice. The Ki-67 cell proliferation index, p53 and bcl-2 immunostaining, and DNA ploidy analysis also are used in many laboratories as adjuncts for predicting outcome in the disease. The remaining prognostic and predictive markers discussed in this review continue to be under evaluation to assess their usefulness in determining prognosis and guiding the selection of therapy for the disease.

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